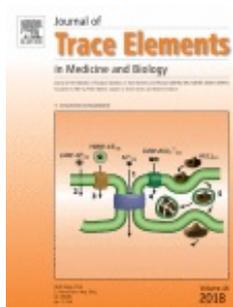


# Aluminium in brain tissue in autism

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## Abstract

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Autism spectrum disorder is a neurodevelopmental disorder of unknown aetiology. It is suggested to involve both genetic susceptibility and environmental factors including in the latter environmental toxins. Human exposure to the environmental toxin aluminium has been linked, if tentatively, to autism spectrum disorder. Herein we have used transversely heated graphite furnace atomic absorption spectrometry to measure, for the first time, the aluminium content of brain tissue from donors with a diagnosis of autism. We have also used an aluminium-selective fluor to identify aluminium in brain tissue using fluorescence microscopy. The aluminium content of brain tissue in autism was consistently high. The mean (standard deviation) aluminium content across all 5 individuals for each lobe were 3.82(5.42), 2.30(2.00), 2.79(4.05) and 3.82(5.17)  $\mu\text{g/g}$  dry wt. for the occipital, frontal, temporal and parietal lobes respectively. These are some of the highest values for aluminium in human brain tissue yet recorded and one has to question why, for example, the aluminium content of the occipital lobe of a 15 year old boy would be 8.74 (11.59)  $\mu\text{g/g}$  dry wt.? Aluminium-selective fluorescence microscopy was used to identify aluminium in brain tissue in 10 donors. While aluminium was imaged associated with neurones it appeared to be present intracellularly in microglia-like cells and other inflammatory non-neuronal cells in the meninges, vasculature, grey and white matter. The pre-eminence of intracellular aluminium associated with non-neuronal cells was a standout observation in autism brain tissue and may offer clues as to both the origin of the brain aluminium as well as a putative role in autism spectrum disorder.

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## Keywords

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Human exposure to aluminium

Human brain tissue

Autism spectrum disorder

Transversely heated atomic absorption spectrometry

Aluminium-selective fluorescence microscopy

## 1. Introduction

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Autism spectrum disorder (ASD) is a group of neurodevelopmental conditions of unknown cause. It is highly likely that both genetic [1] and environmental [2] factors are associated with the onset and progress of ASD while the mechanisms underlying its aetiology are expected to be multifactorial [3], [4], [5], [6]. Human exposure to aluminium has been implicated in ASD with conclusions being equivocal [7], [8], [9], [10]. To-date the majority of studies have used hair as their indicator of human exposure to aluminium while aluminium in blood and urine have also been used to a much more limited extent. Paediatric vaccines that include an aluminium adjuvant are an indirect measure of infant exposure to aluminium and their burgeoning use has been directly correlated with increasing prevalence of ASD [11]. Animal models of ASD continue to support a connection with aluminium and to aluminium adjuvants used in human vaccinations in particular [12]. Hitherto there are no previous reports of aluminium in brain tissue from donors who died with a diagnosis of ASD. We have measured aluminium in brain tissue in autism and identified the location of aluminium in these tissues.

## 2. Materials and methods

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### 2.1. Measurement of aluminium in brain tissues

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Ethical approval was obtained along with tissues from the Oxford Brain Bank (15/SC/0639). Samples of cortex of approximately 1 g frozen weight from temporal, frontal, parietal and occipital lobes and hippocampus (0.3 g only) were obtained from 5 individuals with ADI-R-confirmed (Autism Diagnostic Interview-Revised) ASD, 4 males and 1 female, aged 15–50 years old (Table 1).

Table 1. Aluminium content of occipital (O), frontal (F), temporal (T) and parietal (P) lobes and hippocampus (H) of brain tissue from 5 donors with a diagnosis of autism spectrum disorder.

<b>Donor ID</b>	<b>Gender</b>	<b>Age</b>	<b>Lobe</b>	<b>Replicate</b>	<b>[Al] µg/g</b>
A1	F	44	O	1	0.49

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Donor ID	Gender	Age	Lobe	Replicate	[Al] µg/g
				2	4.26
				3	0.33
				Mean (SD)	1.69 (2.22)
	F			1	0.98
				2	1.10
				3	0.95
				Mean (SD)	1.01 (0.08)
	T			1	1.13
				2	1.16
				3	1.12
				Mean (SD)	1.14 (0.02)
	P			1	0.54
				2	1.18
				3	NA
				Mean (SD)	0.86 (0.45)
	All			Mean (SD)	1.20 (1.06)
A2	M	50	O	1	3.73
				2	7.87
				3	3.49
				Mean (SD)	5.03 (2.46)
	F			1	0.86
				2	0.88
				3	1.65

<b>Donor ID</b>	<b>Gender</b>	<b>Age</b>	<b>Lobe</b>	<b>Replicate</b>	<b>[Al] µg/g</b>
				Mean (SD)	1.13 (0.45)
			T	1	1.31
				2	1.02
				3	2.73
				Mean (SD)	1.69 (0.92)
			P	1	18.57
				2	0.01
				3	0.64
				Mean (SD)	6.41 (10.54)
			Hip.	1	1.42
			All	Mean (SD)	3.40 (5.00)
A3	M	22	O	1	0.64
				2	2.01
				3	0.66
				Mean (SD)	1.10 (0.79)
			F	1	1.72
				2	4.14
				3	2.73
				Mean (SD)	2.86 (1.22)
			T	1	1.62
				2	4.25
				3	2.57
				Mean (SD)	2.81 (1.33)

<b>Donor ID</b>	<b>Gender</b>	<b>Age</b>	<b>Lobe</b>	<b>Replicate</b>	<b>[Al] µg/g</b>
			P	1	0.13
				2	3.12
				3	5.18
				Mean (SD)	2.82 (1.81)
			All	Mean (SD)	2.40 (1.58)
A4	M	15	O	1	2.44
				2	1.66
				3	22.11
				Mean (SD)	8.74 (11.59)
			F	1	1.11
				2	3.23
				3	1.66
				Mean (SD)	2.00 (1.10)
			T	1	1.10
				2	1.83
				3	1.54
				Mean (SD)	1.49 (0.37)
			P	1	1.38
				2	6.71
				3	NA
				Mean (SD)	4.05 (3.77)
			Hip.	1	0.02
			All	Mean (SD)	3.73 (6.02)

Donor ID	Gender	Age	Lobe	Replicate	[Al] µg/g
A5	M	33	O	1	3.13
				2	2.78
				3	1.71
				Mean (SD)	2.54 (0.74)
			F	1	2.97
				2	8.27
				3	NA
				Mean (SD)	5.62 (3.75)
			T	1	1.71
				2	1.64
				3	17.10
				Mean (SD)	6.82 (8.91)
			P	1	5.53
				2	2.89
				3	NA
				Mean (SD)	4.21 (1.87)
			All	Mean (SD)	4.77 (4.79)

The aluminium content of these tissues was measured by an established and fully validated method [13] that herein is described only briefly. Thawed tissues were cut using a stainless steel blade to give individual samples of *ca* 0.3 g (3 sample replicates for each lobe except for hippocampus where the tissue was used as supplied) wet weight and dried to a constant weight at 37 °C. Dried and weighed tissues were digested in a microwave (MARS Xpress CEM Microwave Technology Ltd.) in a mixture of 1 mL 15.8 M HNO<sub>3</sub> (Fisher Analytical Grade) and 1 mL 30% *w/v* H<sub>2</sub>O<sub>2</sub> (BDH Aristar). Digests were clear with no fatty residues and, upon cooling, were made up to 5 mL volume using ultrapure water (cond.<0.067 µS/cm). Total aluminium was measured in each sample by

transversely heated graphite furnace atomic absorption spectrometry (TH GFAAS) using matrix-matched standards and an established analytical programme alongside previously validated quality assurance data [13].

## 2.2. Fluorescence microscopy

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All chemicals were from Sigma Aldrich (UK) unless otherwise stated. Where available frontal, parietal, occipital, temporal and hippocampal tissue from 10 donors (3 females and 7 males) with a diagnosis of ASD was supplied by the Oxford Brain Bank as three 5 µm thick serial paraffin-embedded brain tissue sections per lobe for each donor (Table S1). Tissue sections mounted on glass slides were placed in a slide rack and de-waxed and rehydrated via transfer through 250 mL of the following reagents: 3 min in Histo-Clear (National Diagnostics, US), 1 min in fresh Histo-Clear, 2 min in 100% v/v ethanol (HPLC grade) and 1 min in 95, 70, 50 & 30% v/v ethanol followed by rehydration in ultrapure water (cond.<0.067 µS/cm) for 35 s. Slides were agitated every 20 s in each solvent and blotted on tissue paper between transfers to minimise solvent carry-over. Rehydrated brain tissue sections were carefully outlined with a PAP pen for staining, in order to form a hydrophobic barrier around the periphery of tissue sections. In between staining, tissue sections were kept hydrated with ultrapure water and stored in moisture chambers, to prevent sections from drying out. Staining was staggered to allow for accurate incubation times of brain tissue sections. We have developed and optimised the fluor lumogallion as a selective stain for aluminium in cells [14] and human tissues [15]. Lumogallion (4-chloro-3-(2,4-dihydroxyphenylazo)-2-hydroxybenzene-1-sulphonic acid, TCI Europe N.V. Belgium) was prepared at *ca* 1 mM via dilution in a 50 mM PIPES (1,4-Piperazinediethanesulphonic acid) buffer, adjusted to pH 7.4 with NaOH. Lumogallion staining was performed via the addition of 200 µL of the staining solution to rehydrated brain tissue sections that were subsequently incubated at ambient temperature away from light for 45 min. Sections for autofluorescence analyses were incubated for 45 min in 200 µL 50 mM PIPES buffer only, pH 7.4. Following staining, glass slides containing tissue sections were washed six times with 200 µL aliquots of 50 mM PIPES buffer, pH 7.4, prior to rinsing for 30 s in ultrapure water. Serial sections numbered 1 and 2 for each lobe were incubated in 50 mM PIPES buffer, pH 7.4 or stained with 1 mM lumogallion in the same buffer, respectively, to ensure consistency across donor tissues. All tissue sections were subsequently mounted under glass coverslips using the aqueous mounting medium, Fluoromount™. Slides were stored horizontally for 24 h at 4 °C away from light, prior to analysis via fluorescence microscopy.

Stained and mounted human brain tissue sections were analysed via the use of an Olympus BX50 fluorescence microscope, equipped with a vertical illuminator and BX-FLA reflected light fluorescence attachment (mercury source). Micrographs were obtained at X 400 magnification by use of a X 40 Plan-Fluorite objective (Olympus, UK). Lumogallion-reactive aluminium and related autofluorescence micrographs were obtained via use of a U-MNIB3 fluorescence filter cube (excitation: 470–495 nm, dichromatic mirror: 505 nm, longpass emission: 510 nm, Olympus, UK). Light exposure and transmission values were fixed across respective staining treatment conditions and images were obtained using

the CellD software suite (Olympus, Soft Imaging Solutions, SiS, GmbH). Lumogallion-reactive regions identified through sequential screening of stained human brain tissue sections were additionally imaged on autofluorescence serial sections, to assess the contribution of the fluorophore. The subsequent merging of fluorescence and bright-field channels was achieved using Photoshop (Adobe Systems Inc. US). When determining intracellular staining the type of cells stained were estimated by their size and shape in the context of the brain area sampled and their surrounding cellular environment.

## 3. Results

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### 3.1. Aluminium content of brain tissues

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The aluminium content of all tissues ranged from 0.01 (the limit of quantitation) to 22.11  $\mu\text{g/g}$  dry wt. (Table 1). The aluminium content for whole brains ( $n = 4$  or 5 depending upon the availability of hippocampus tissue) ranged from 1.20 (1.06)  $\mu\text{g/g}$  dry wt. for the 44 year old female donor (A1) to 4.77 (4.79)  $\mu\text{g/g}$  dry wt. for a 33 year old male donor (A5). Previous measurements of brain aluminium, including our 60 brain study [13], have allowed us to define loose categories of brain aluminium content beginning with  $\leq 1.00$   $\mu\text{g/g}$  dry wt. as pathologically benign (as opposed to 'normal'). Approximately 40% of tissues (24/59) had an aluminium content considered as pathologically-concerning ( $\geq 2.00$   $\mu\text{g/g}$  dry wt.) while approximately 67% of these tissues had an aluminium content considered as pathologically-significant ( $\geq 3.00$   $\mu\text{g/g}$  dry wt.). The brains of all 5 individuals had at least one tissue with a pathologically-significant content of aluminium. The brains of 4 individuals had at least one tissue with an aluminium content  $\geq 5.00$   $\mu\text{g/g}$  dry wt. while 3 of these had at least one tissue with an aluminium content  $\geq 10.00$   $\mu\text{g/g}$  dry wt. (Table 1). The mean (SD) aluminium content across all 5 individuals for each lobe were 3.82(5.42), 2.30(2.00), 2.79(4.05) and 3.82(5.17)  $\mu\text{g/g}$  dry wt. for the occipital, frontal, temporal and parietal lobes respectively. There were no statistically significant differences in aluminium content between any of the 4 lobes.

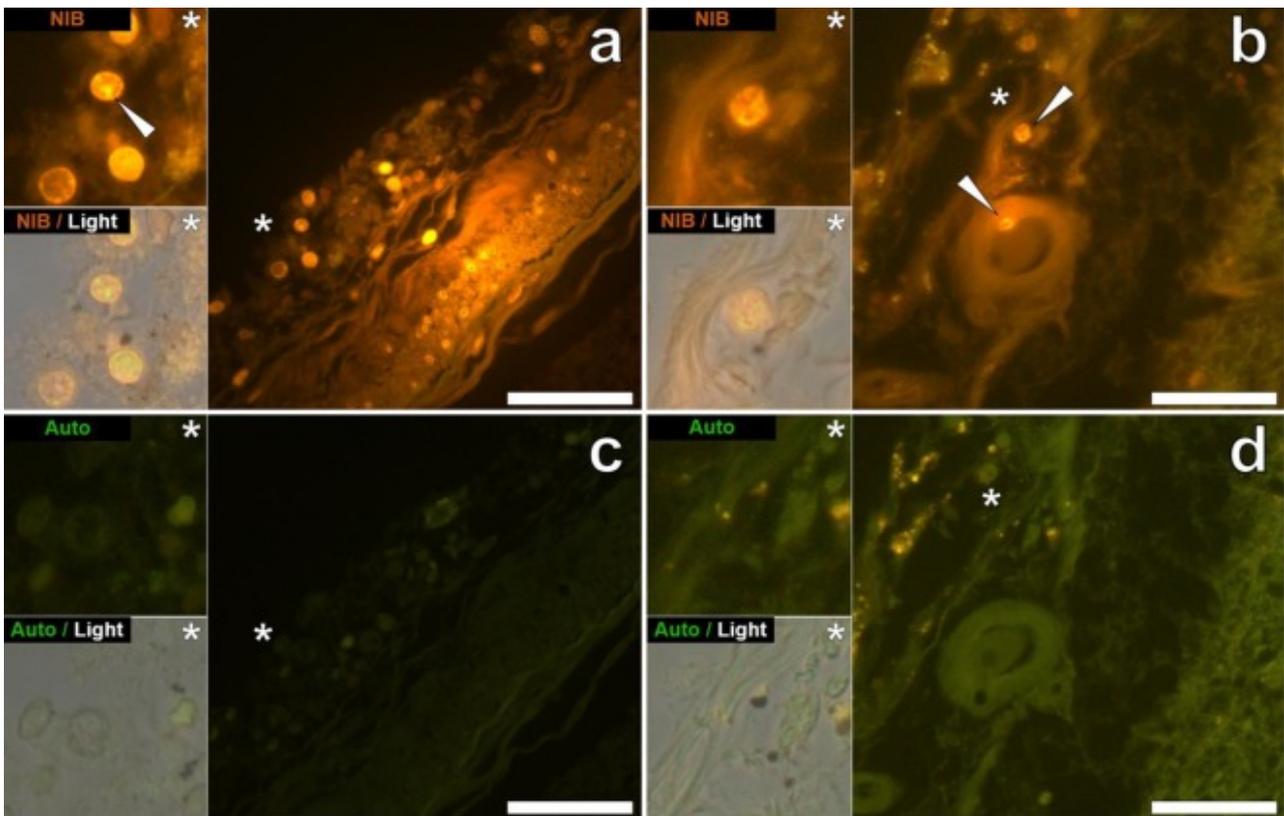
### 3.2. Aluminium fluorescence in brain tissues

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We examined serial brain sections from 10 individuals (3 females and 7 males) who died with a diagnosis of ASD and recorded the presence of aluminium in these tissues (Table S1). Excitation of the complex of aluminium and lumogallion emits characteristic orange fluorescence that appears increasingly bright yellow at higher fluorescence intensities. Aluminium, identified as lumogallion-reactive deposits, was recorded in at least one tissue in all 10 individuals. Autofluorescence of immediately adjacent serial sections confirmed lumogallion fluorescence as indicative of aluminium. Deposits of aluminium were significantly more prevalent in males (129 in 7 individuals) than females (21 in 3 individuals). Aluminium was found in both white (62 deposits) and grey (88 deposits) matter. In females the majority of aluminium deposits were identified as extracellular (15/21) whereas in males the opposite was the case with 80 out of 129 deposits being

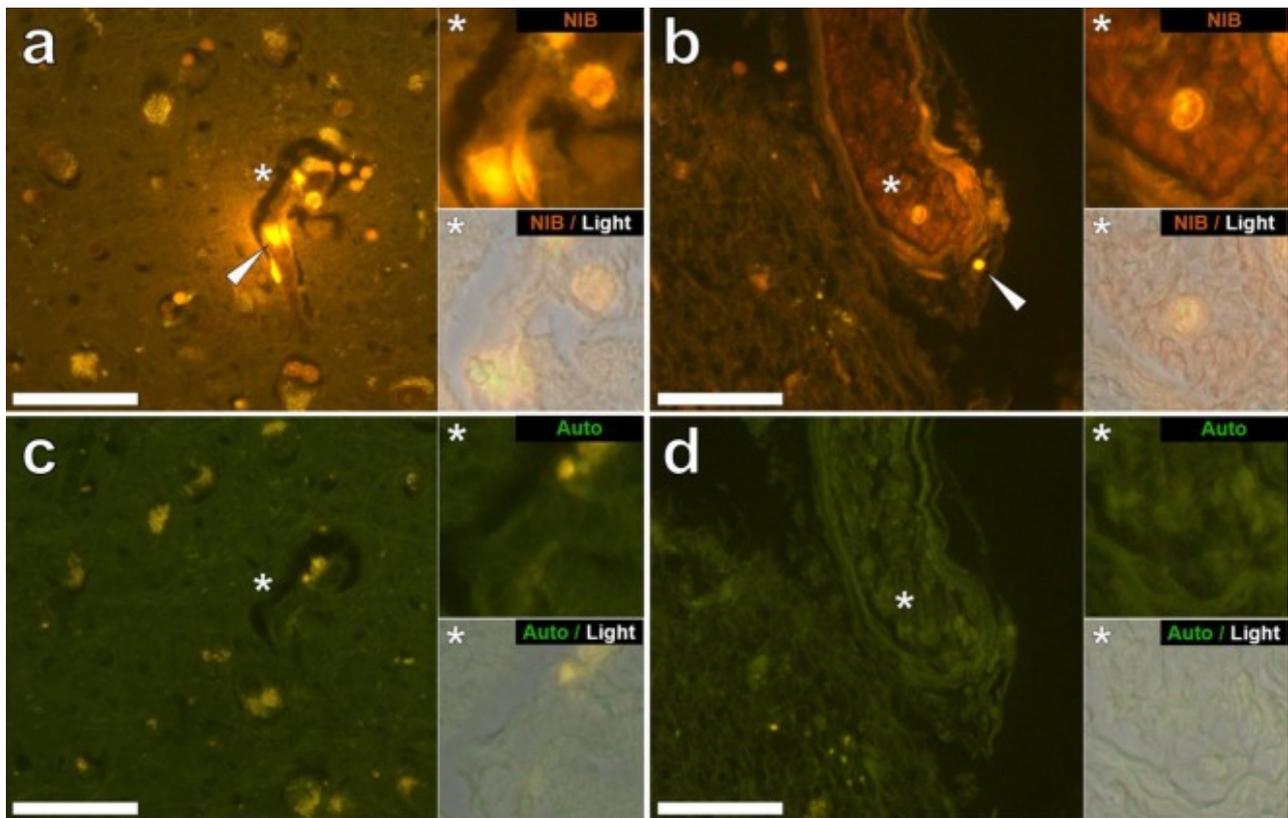
intracellular. We were only supplied with 3 serial sections of each tissue and so we were not able to do any staining for general morphology which meant that it was not always possible to determine which subtype of cell was showing aluminium fluorescence.

Aluminium-loaded mononuclear white blood cells, probably lymphocytes, were identified in the meninges and possibly in the process of entering brain tissue from the lymphatic system (Fig. 1). Aluminium could be clearly seen inside cells as either discrete punctate deposits or as bright yellow fluorescence. Aluminium was located in inflammatory cells associated with the vasculature (Fig. 2). In one case what looks like an aluminium-loaded lymphocyte or monocyte was noted within a blood vessel lumen surrounded by red blood cells while another probable lymphocyte showing intense yellow fluorescence was noted in the adventitia (Fig. 2b). Glial cells including microglia-like cells that showed positive aluminium fluorescence were often observed in brain tissue in the vicinity of aluminium-stained extracellular deposits (Fig. 3, Fig. 4). Discrete deposits of aluminium approximately 1  $\mu\text{m}$  in diameter were clearly visible in both round and amoeboid glial cell bodies (e.g. Fig. 3b). Intracellular aluminium was identified in likely neurones and glia-like cells and often in the vicinity of or co-localised with lipofuscin (Fig. 5). Aluminium-selective fluorescence microscopy was successful in identifying aluminium in extracellular and intracellular locations in neurones and non-neuronal cells and across all brain tissues studied (Fig. 1, Fig. 2, Fig. 3, Fig. 4, Fig. 5). The method only identifies aluminium as evidenced by large areas of brain tissue without any characteristic aluminium-positive fluorescence (Fig. S1).



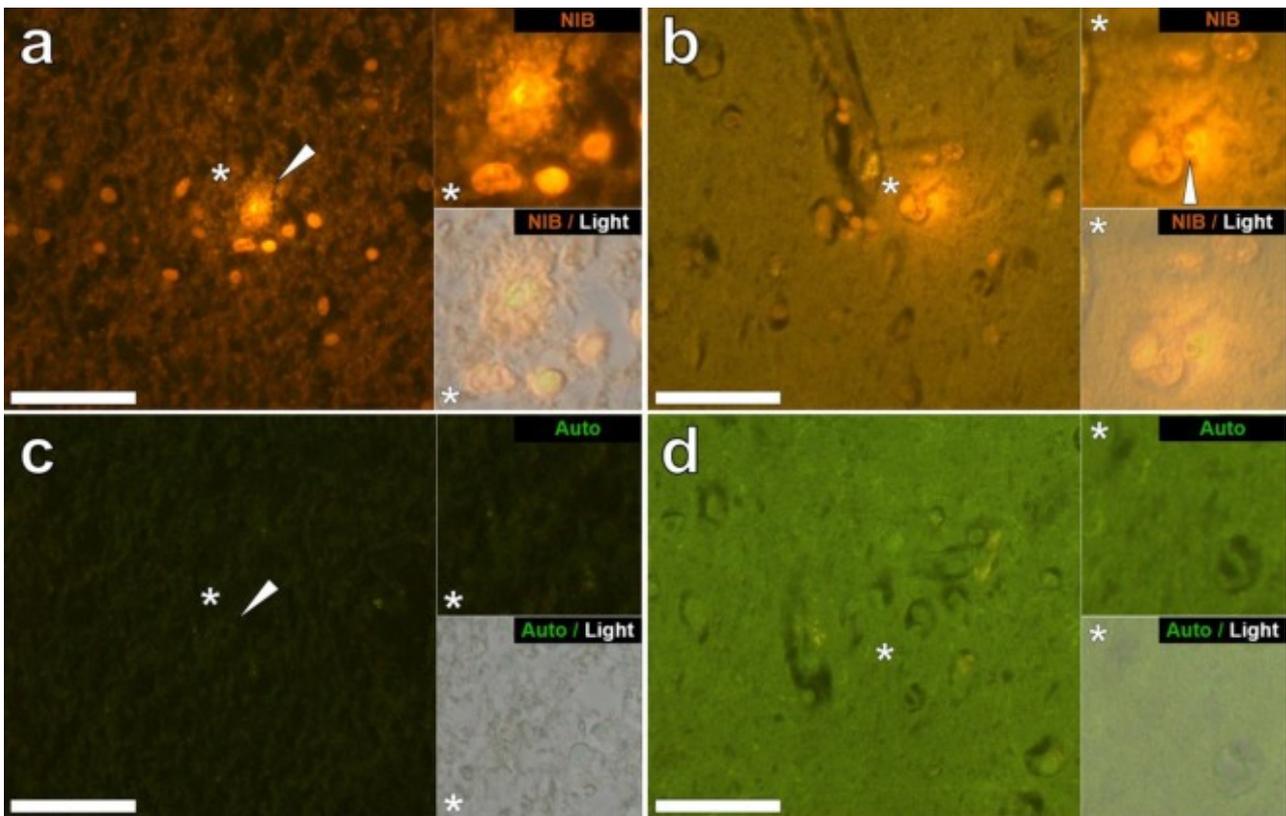
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Fig. 1. Mononuclear inflammatory cells (probably lymphocytes) in leptomeningeal membranes in the hippocampus and frontal lobe of a 50-year-old male donor (A2), diagnosed with autism. Intracellular lumogallion-reactive aluminium was noted via punctate orange fluorescence emission (white arrows) in the hippocampus (**a**) and frontal lobe (**b**). A green autofluorescence emission was detected in the adjacent non-stained (5  $\mu$ m) serial section (**c & d**). Upper and lower panels depict magnified inserts marked by asterisks, of the fluorescence channel and bright field overlay. Magnification  $\times 400$ , scale bars: 50  $\mu$ m. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



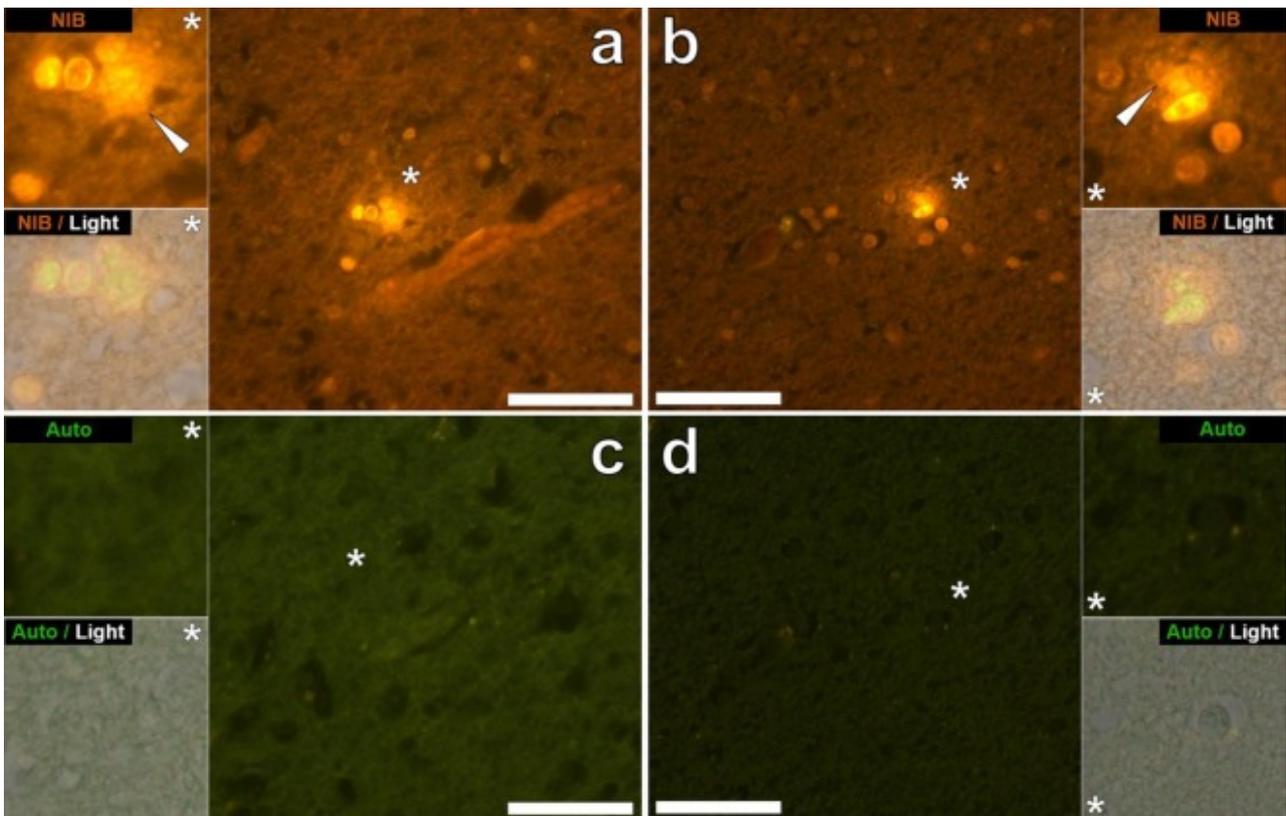
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Fig. 2. Intracellular lumogallion-reactive aluminium in the vasculature of the hippocampus of a 50-year-old male donor (A2), diagnosed with autism. Aluminium-loaded inflammatory cells noted in the hippocampus in the vessel wall (white arrow) **(a)** and depicting punctate orange fluorescence in the lumen **(b)** are highlighted. An inflammatory cell in the vessel adventitia was also noted (white arrow) **(b)**. Lumogallion-reactive aluminium was identified via an orange fluorescence emission **(a & b)** versus a green autofluorescence emission **(c & d)** of the adjacent non-stained (5  $\mu\text{m}$ ) serial section. Upper and lower panels depict magnified inserts marked by asterisks, of the fluorescence channel and bright field overlay. Magnification  $\times 400$ , scale bars: 50  $\mu\text{m}$ . (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



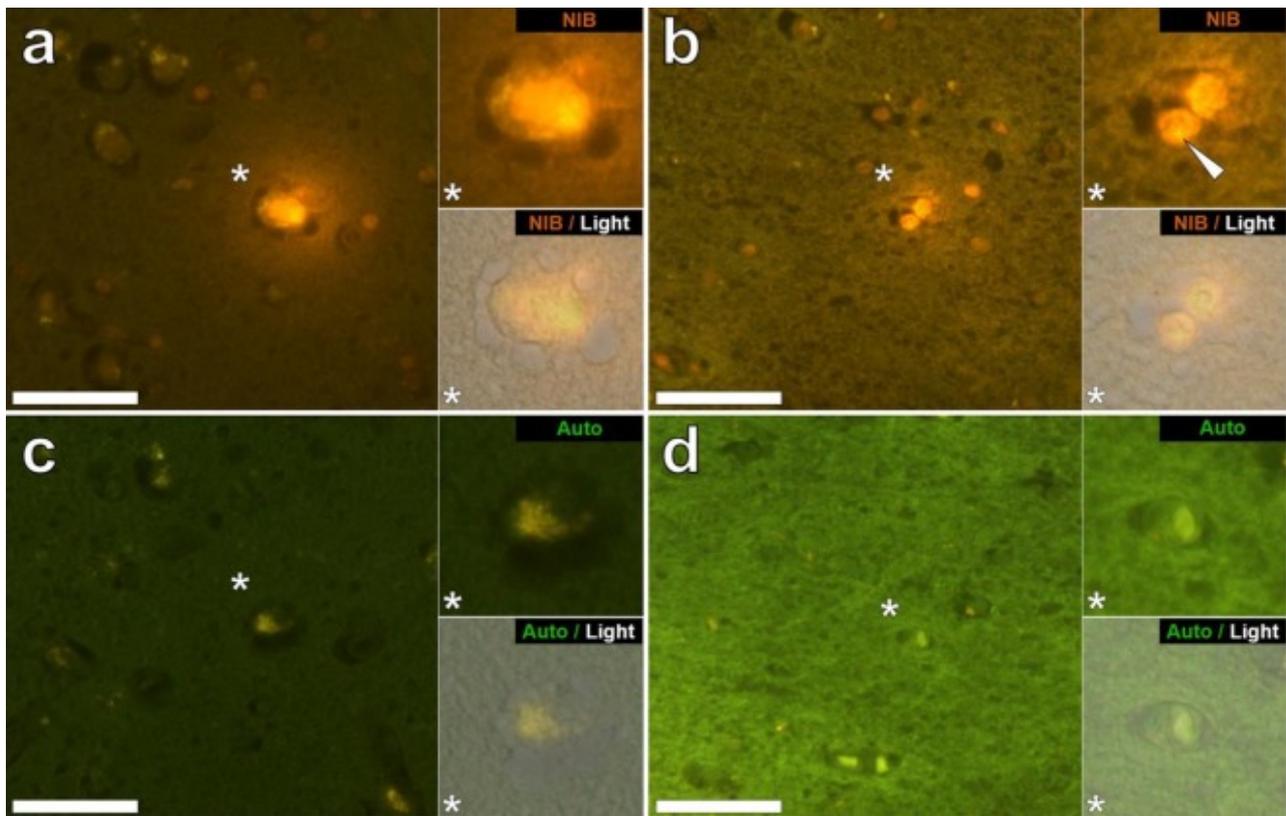
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Fig. 3. Intracellular aluminium in cells morphologically compatible with glia and neurones in the hippocampus of a 15-year-old male donor (A4), diagnosed with autism. Lumogallion reactive cellular aluminium identified within glial-like cells in the hippocampus **(a)** and producing a punctate orange fluorescence in glia surrounding a likely neuronal cell within the parietal lobe **(b)** are highlighted (white arrows). Lumogallion-reactive aluminium was identified via an orange fluorescence emission **(a & b)** versus a green autofluorescence emission **(c & d)** of the subsequent non-stained (5  $\mu$ m) serial section (white arrow/asterisk). Upper and lower panels depict magnified inserts marked by asterisks, of the fluorescence channel and bright field overlay. Magnification  $\times 400$ , scale bars: 50  $\mu$ m. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



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Fig. 4. Intracellular aluminium in cells morphologically compatible with microglia within the parietal and temporal lobes of 29-year-old (A8) and 15-year-old (A4) male donors, diagnosed with autism. Lumogallion-reactive extracellular aluminium (white arrows) producing an orange fluorescence emission was noted around likely microglial cells in the parietal **(a)** and temporal lobes **(b)** of donors A8 and A4 respectively. Non-stained adjacent (5  $\mu$ m) serial sections, produced a weak green autofluorescence emission of the identical area imaged in white **(c)** and grey matter **(d)** of the respective lobes. Upper and lower panels depict magnified inserts marked by asterisks, of the fluorescence channel and bright field overlay. Magnification  $\times 400$ , scale bars: 50  $\mu$ m. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



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Fig. 5. Lumogallion-reactive aluminium in likely neuronal and glial cells in the temporal lobe and hippocampus of a 14-year-old male donor (A10), diagnosed with autism. Intraneuronal aluminium in the temporal lobe (**a**) was identified via an orange fluorescence emission, co-deposited with lipofuscin as revealed by a yellow fluorescence in the non-stained autofluorescence serial (5  $\mu$ m) section (**c**). Intracellular punctate orange fluorescence (white arrow) was observed in glia in the hippocampus (**b**) producing a green autofluorescence emission on the non-stained section (**d**). Upper and lower panels depict magnified inserts marked by asterisks, of the fluorescence channel and bright field overlay. Magnification  $\times 400$ , scale bars: 50  $\mu$ m. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

## 4. Discussion

The aluminium content of brain tissues from donors with a diagnosis of ASD was extremely high (Table 1). While there was significant inter-tissue, inter-lobe and inter-subject variability the mean aluminium content for each lobe across all 5 individuals was towards the higher end of all previous (historical) measurements of brain aluminium content, including iatrogenic disorders such as dialysis encephalopathy [13], [15], [16], [17], [18], [19]. All 4 male donors had significantly higher concentrations of brain aluminium than the single female donor. We recorded some of the highest values for

brain aluminium content ever measured in healthy or diseased tissues in these male ASD donors including values of 17.10, 18.57 and 22.11  $\mu\text{g/g}$  dry wt. (Table 1). What discriminates these data from other analyses of brain aluminium in other diseases is the age of the ASD donors. Why, for example would a 15 year old boy have such a high content of aluminium in their brain tissues? There are no comparative data in the scientific literature, the closest being similarly high data for a 42 year old male with familial Alzheimer's disease (fAD) [19].

Aluminium-selective fluorescence microscopy has provided indications as to the location of aluminium in these ASD brain tissues (Fig. 1, Fig. 2, Fig. 3, Fig. 4, Fig. 5). Aluminium was found in both white and grey matter and in both extra- and intracellular locations. The latter were particularly pre-eminent in these ASD tissues. Cells that morphologically appeared non-neuronal and heavily loaded with aluminium were identified associated with the meninges (Fig. 1), the vasculature (Fig. 2) and within grey and white matter (Fig. 3, Fig. 4, Fig. 5). Some of these cells appeared to be glial (probably astrocytic) whilst others had elongated nuclei giving the appearance of microglia [5]. The latter were sometimes seen in the environment of extracellular aluminium deposition. This implies that aluminium somehow had crossed the blood-brain barrier and was taken up by a native cell namely the microglial cell. Interestingly, the presence of occasional aluminium-laden inflammatory cells in the vasculature and the leptomeninges opens the possibility of a separate mode of entry of aluminium into the brain i.e. intracellularly. However, to allow this second scenario to be of significance one would expect some type of intracerebral insult to occur to allow egress of lymphocytes and monocytes from the vasculature [20]. The identification herein of non-neuronal cells including inflammatory cells, glial cells and microglia loaded with aluminium is a standout observation for ASD. For example, the majority of aluminium deposits identified in brain tissue in fAD were extracellular and nearly always associated with grey matter [19]. Aluminium is cytotoxic [21] and its association herein with inflammatory cells in the vasculature, meninges and central nervous system is unlikely to be benign. Microglia heavily loaded with aluminium while potentially remaining viable, at least for some time, will inevitably be compromised and dysfunctional microglia are thought to be involved in the aetiology of ASD [22], for example in disrupting synaptic pruning [23]. In addition the suggestion from the data herein that aluminium entry into the brain via immune cells circulating in the blood and lymph is expedited in ASD might begin to explain the earlier posed question of why there was so much aluminium in the brain of a 15 year old boy with an ASD.

A limitation of our study is the small number of cases that were available to study and the limited availability of tissue. Regarding the latter, having access to only 1 g of frozen tissue and just 3 serial sections of fixed tissue per lobe would normally be perceived as a significant limitation. Certainly if we had not identified any significant deposits of aluminium in such a small (the average brain weighs between 1500 and 2000 g) sample of brain tissue then such a finding would be equivocal. However, the fact that we found aluminium in every sample of brain tissue, frozen or fixed, does suggest very strongly

that individuals with a diagnosis of ASD have extraordinarily high levels of aluminium in their brain tissue and that this aluminium is pre-eminently associated with non-neuronal cells including microglia and other inflammatory monocytes.

## 5. Conclusions

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We have made the first measurements of aluminium in brain tissue in ASD and we have shown that the brain aluminium content is extraordinarily high. We have identified aluminium in brain tissue as both extracellular and intracellular with the latter involving both neurones and non-neuronal cells. The presence of aluminium in inflammatory cells in the meninges, vasculature, grey and white matter is a standout observation and could implicate aluminium in the aetiology of ASD.

## Competing interests

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The authors declare that they have no competing interests.

## Author contributions

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CE designed the study, carried out tissue digests and TH GFAAS. DU carried out tissue digests and TH GFAAS. AK carried out brain neuropathology on sections prepared by MM. MM carried out all microscopy and with CE wrote the manuscript. All authors read and approved the manuscript.

## Acknowledgements

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## Appendix A. Supplementary data

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### Help

The following are Supplementary data to this article:

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